

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.	:	10/563,333	Confirmation No.:	To Be Assigned
First Named Inventor	:	Syuuji EGUCHI		
Filed	:	December 30, 20	05	
TC/A.U.	:	To Be Assigned		
Examiner	:	To Be Assigned		
Docket No.	:	056205.57275US		
C		00011		

Customer No. : 23911

Title : Module and Method for Fabricating the Same

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R § § 1.97 AND 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, the attached Form PTO-1449 lists documents which the Examiner may deem relevant to patentability of the claims of the above-identified application.

I. Time Period of Submission

This Information Disclosure Statement is submitted:

D 1) no later than three months from the application's filing date or 2) before the mailing date of the first Office Action on the merits (whichever is later) or 3) before a first Office Action after the filing of a Request for Continued Examination, and therefore no statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R.§ 1.17(p) is required.
2) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, a Notice of Allowance, or an action closing prosecution (Exparte Quayle), (whichever is earlier), and therefore Applicant is filing concurrently herewith:
a Statement under 37 C.F.R. § 1.97(e); or
a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).
3) after either a Final Office Action or a Notice of Allowance, but before payment of the Issue Fee, and therefore Applicant is submitting herewith:

a Statement under 37 C.F.R. § 1.97(e); and

a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

II. Statement Under 37 C.F.R. § 1.97(e)
☐ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; or
III. Statement under 37 C.F.R. § 1.704(d)
I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.
IV. <u>Submission of Non-English Language Documents</u>
☐ The following is a concise explanation of relevance of the non-English language documents listed in the attached Form PTO-1449:
The relevance of document(s) to the subject matter of the present invention is/are provided in the specification of the above-identified application.
Corresponding foreign or international report(s) citing document(s) <u>AD-AI</u> , together with an English-language version(s) (if not already in English) of that portion of the report(s) indicating the degree of relevance found by the foreign office(s) is/are submitted.
English language family member publication(s) of document(s) is/are noted on Form PTO-1449.
English language abstract(s) is/are submitted for document(s) AH-AI.

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			Applicant	submits	the	following	explanations:
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Augu	st 25,	2006		Gary	R. Hdw	submitted, AUUUrst ards No. 31,824	
			RING LLP rty Group				

Page 3 of 3

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PTO/SB/08a (08-03)

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Substitute for form 1449A/PTO				Complete if Known			
				Application Number	10/563,333		
l	INFORMATION D	ISCLOS	URE	Filing Date	December 30, 2005		
	STATEMENT BY APPLICANT			First Named Inventor	Syuuji EGUCHI		
				Art Unit	To Be Assigned		
(use as many sheets as necessary)			<i>(</i>)	Examiner Name	To Be Assigned		
Sheet	1	of	2	Attomey Docket Number	010482.57275US		

				U.S. PATENT	DOCUMENTS	
Examiner Initials'	Cite No. ¹	Document Numb		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US- 6,214,904	B1	04-10-2001	Tanaka et al.	
	AB	US- 6,340,791	B1	01-22-2002	Stotz	
	AC	US- 6,377,465	B1	04-23-2002	Tanaka	
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		Foreign Patent Document			Pages, Columns, Lines,	
		Country Code ³ –Number ⁴ –Kind Code ⁵ (if known)			Where Relevant Passages or	T ⁶
Examiner	Cite		Publication Date	Name of Patentee or	Relevant	
Initials'	No.1		MM-DD-YYYY	Applicant of Cited Document	Figures Appear	
	AD	DE 195 11 487 A1	10-02-1996	Robert Bosch GmbH		
	ΑE	DE 197 07 757 A1	08-27-1998	Siemens AG		
	AF	DE 199 10 500 A1	10-05-2000	Robert Bosch GmbH		
	AG	FR 2 735 942 A1	12-27-1996	Siemens Automotive SA		
	AH	JP 2002-237552 A	08-23-2002	Toray Ind Inc.		ABS
	Al	JP 2000-114287 A	04-21-2000	Akebono Brake Ind Co. Ltd.		ABS

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Complete if Known Substitute for form 1449B/PTO Application Number 10/563,333 December 30, 2005 Filing Date INFORMATION DISCLOSURE First Named Inventor Syuuji EGUCHI STATEMENT BY APPLICANT Art Unit To Be Assigned **Examiner Name** To Be Assigned (use as many sheets as necessary) 2 Attorney Docket Number 010482.57275US Sheet of

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	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
:	AJ	European Search Report dated July 14, 2006 (Four (4) pages).			
Examiner	T	Date			
Signature		Considered			

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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